

IMT-136

FINANCIAL MARKETS INSTITUTIONS AND SERVICES

Notes:

- Write answers in your own words as far as possible and refrain from copying from the text books/handouts.
- Answers of Ist Set (Part-A), IInd Set (Part-B), IIIrd Set (Part – C) and Set-IVth (Case Study) must be sent together.
- Submit the assignments in IMT CDL H.O. along with the assignments Question Papers for evaluation .
- Only hand written assignments shall be accepted.

A. First Set of Assignments

5 Questions, each question carries 1.5 marks.

B. Second Set of Assignments

5 Questions, each question carries 1.5 marks.

C. Third Set of Assignments

5 Questions, each question carries 1.5 marks. Confine your answers to 150 to 200 Words.

D. Forth Set of Assignments

Two Case Studies : 7.5 Marks. Each case study carries 3.75 marks.

SECTION - A

- Explain the process of capital formation.
- Give detailed chart depicting Organization of the Financial System in India.
- Give differences and similarities between New Issue Market and Stock Exchanges in tabular format.
- Give detailed chart depicting Money Market Organization in India.
- Distinguish between CP and CD as understood under Indian Money market.

SECTION - B

- List the ways by new Issues are brought into the market. Explain any one of them in detail.
- Give detailed chart depicting Regulatory Framework of Securities Market in India.
- Who or what is SEBI? List 5 of its powers and functions.
- Write a note on Buyback of securities.
- Write a note on primary market intermediaries in India.

SECTION - C

- Write a detailed on Depository System in India. Remember to give relevant figures/diagrams
- Write a note on Forward Contracts .
- Who are venture capitalists? What do they do?
- What are NBFCs? Why are they important for an economy.
- Write a note on FDI.

CASE STUDY - 1

1. Sequia Investments Pvt Ltd is an American investment company. It wants to invest \$1 Trillion in Indian Economy. Suggest it how should it invest. Give your answer by providing various financial markets, institutions and services it should avail for maximum profit.

CASE STUDY - 2

2. Ajay has got a new job in which his monthly take home is Rs.75,000/- He wants to invest in Mutual Funds. You are an expert at mutual fund industry. Explain him what are Mutual Funds and how they work and how will it be beneficial to him.